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Approved for use through 10/31/2002. OMB 0651-0031

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## TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 150+

| Application Number     | 10/014,684          |  |
|------------------------|---------------------|--|
| Filing Date            | December 11, 2001   |  |
| First Named Inventor   | WRIGHT", Jonathan C |  |
| Group Art Unit         | 3739                |  |
| Examiner Name          | To Be Assigned      |  |
| Attorney Docket Number | 3869-026            |  |

|                                                                    | ENCLOSURES (check                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | all that apply)                                                   |  |  |  |  |
|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--|--|--|--|
| Fee Transmittal Form                                               | Assignment Papers (for an Application)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | After Allowance Communication to Group                            |  |  |  |  |
| Fee Attached                                                       | Drawing(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Appeal Communication to Board of Appeals and Interferences        |  |  |  |  |
| Amendment / Reply                                                  | Licensing-related Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |  |
| After Final                                                        | Petition                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Proprietary Information                                           |  |  |  |  |
| Affidavits/declaration(s)                                          | Petition to Convert to a Provisional Application                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Status Letter                                                     |  |  |  |  |
| Extension of Time Request                                          | Power of Attorney, Revocation Change of Correspondence Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Other Enclosure(s) (please identify below):                       |  |  |  |  |
| Express Abandonment Request                                        | Terminal Disclaimer  Request for Refund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Return Receipt Postcard;                                          |  |  |  |  |
| Information Disclosure Statement                                   | CD, Number of CD(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | JUL 24 2007<br>TOO MAIL UNDER 37 CFA R                            |  |  |  |  |
| Certified Copy of Priority Document(s)                             | Remarks CERTIFICATE OF EXPRE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | SS MAIL UNDER 37 CFR-1.10                                         |  |  |  |  |
| Response to Missing Parts/ Incomplete Application                  | Express Mail Label # EL803248181US Date July 19, 2002 Date July 19, 20 |                                                                   |  |  |  |  |
| Response to Missing Parts                                          | Post Office to Addressee" service addressed to: Box Non-Fee Amendment, Asst Commissione) for Patents Washington, DC 20231.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                   |  |  |  |  |
| under 37 CFR 1.52 or 1.53  Depositor: Belister : Aunter            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                   |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT $22440$                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                   |  |  |  |  |
| Firm CHURCHILL JR, Raymond B PATENT TRADEMARK OFFICE Reg No 44,617 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                   |  |  |  |  |
| Signature 9 1                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                   |  |  |  |  |
| Date July 17, 2002                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                   |  |  |  |  |

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Parente, Washington, DC 20231 on this date:

Typed or printed name

Signature

July 17, 2002 Date\_

Attorney Docket No.: 3769-008

UNITED STATES PATENT AND TRADEMARK OFFICE

First-Named Inventor:

WRIGHT, Jonathan C.

Serial No.

10/014,684

Filing Date

December 11, 2001

Title

METHOD AND APPARATUS FOR STROKE PATIEN

**TREATMENT** 

Examiner

To Be Assigned

Group Art Unit

3739

Assistant Commissioner for Patents Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR §1.10** 

Express Mail Label # EL803248181US Date of Deposit July 18, 2002

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is address to: Asst. Commissioner for Patents, Washington, DC 2023 1.

Patents, washington, DC 2023 J.

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56, 1.97 AND §1.98

SIR:

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97. and 1.98, applicant submits herewith an *Information Disclosure Statement* along with (14) cited references listed on the attached *List of Cited References (form PTO-1449)*.

References A, U, and V were cited in the *International Search Report* dated

February 5, 2002 issued by the Patent Cooperation Treaty organization in counterpart

International Patent Application No. PCT/AU01/01595. A copy of the *International Search*Report is also enclosed herewith. Reference U on the *List of Cited References* -
International Patent Publication No. WO 98/51362 to Farrell et al – is incorporated by

reference in the specification at page 2, paragraph 5, in the present application.

Reference B on the *List of Cited References* -- US Patent No. 4,944,310 to Sullivan - is incorporated by reference in the specification at page 1, last paragraph at bottom of page, in the present application.

Reference C on the *List of Cited References* -- US Patent No. 5,704,345 to Berthon-Jones – is incorporated by reference in the specification at page 2, first paragraph, page 4, penultimate paragraph 4, page 5, paragraph 3, and page 6, paragraph 2, in the present application.

Reference D on the *List of Cited References* -- US Patent No. 6,152,129 to Berthon-Jones – is incorporated by reference in the specification at page 5, first paragraph, in the present application.

Reference W on the *List of Cited References* -- International Patent Publication No. WO 00/37135 to Brewer et al – is incorporated by reference in the specification at page 7, paragraph 3, in the present application.

Reference X on the *List of Cited References* -- International Patent Publication No. WO 01/19433 to Berthon-Jones et al – is incorporated by reference in the specification at page 6, paragraph 3, in the present application.

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3).

Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: July 17, 2002

New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC Attorneys for Applicant 270 Madison Avenue New York, New York 10016-0601

Telephone: 212/684-3900 Telefax: 212/684-3999

By:

CHURCHILL Jr., Raymond B

Reg. No. 44,617

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